

# Questions to ask a Financial Advisor

- 1) How long have you been in the financial services industry ?
- 2) What is your philosophy for Wealth Management ?
- 3) How are you compensated for the services you provide ?
- 4) Do you manage investments directly or use third party managers?
- 5) Does your company offer their own investment products and do you receive any additional compensation for selling those over other similar products offered by third parties?
- 6) What licenses have you obtained ?
- 7) Do you hold any advanced degrees or certifications pertaining to Wealth Management ?
- 8) How often do you meet with most of your clients ?
- 9) Do you have an established client process, and if so, please describe it ?
- 10) If something happens to you, is there a plan for someone to continue watching over and managing my assets?

**If you have more questions about selecting a financial advisor, please call to speak with one of our experts at 540-639-2139 for a free consultation!**